

<b>SERIAL NUMBER</b> 09/930,786	<b>FILING OR 371(c) DATE</b> 08/15/2001 <b>RULE</b>	<b>CLASS</b> 705	<b>GROUP ART UNIT</b> 3692	<b>ATTORNEY DOCKET NO.</b> 60021-375602
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**APPLICANTS**

Ronald E. Sloan, Toronto, CANADA;  
Stephen B. Slutsky, Toronto, CANADA;

**\*\* CONTINUING DATA \*\*\*\*\***

This application is a CON of 09/705,287 11/01/2000 ABN  
and is a CON of 09/431,390 11/01/1999 ABN  
and is a CON of 09/580,352 05/25/2000 ABN

**\*\* FOREIGN APPLICATIONS \*\*\*\*\*****IF REQUIRED, FOREIGN FILING LICENSE GRANTED**

\*\* 09/12/2001

Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no	<b>STATE OR COUNTRY</b> CANADA	<b>SHEETS DRAWING</b> 16	<b>TOTAL CLAIMS</b> 42	<b>INDEPENDENT CLAIMS</b> 3
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged	Examiner's Signature	Initials		

**ADDRESS**

81331

**TITLE**

Automated financial portfolio coaching and risk management system

<b>FILING FEE RECEIVED</b> 1236	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees ( Filing )
		<input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )
		<input type="checkbox"/> 1.18 Fees ( Issue )
		<input type="checkbox"/> Other _____
		<input type="checkbox"/> Credit